

PHILIPPINE CORPORATE ENHANCEMENT AND GOVERNANCE, INC.
(Formerly: Philippine Securities Consultancy Corporation)

CERTIFICATE OF ATTENDANCE

This is to certify that

Director
(Designation)

of

RAY C. ESPINOSA
(Name of Participant)
Roxas Holdings, Inc.
(Name of Company)


has attended the

CORPORATE GOVERNANCE & AMLA SEMINAR
(Title of Seminar)

held at Dusit Thani Hotel, Ayala Center, Makati City, Philippines, on December 20, 2017, with the following particulars:

	TOPICS	SPEAKERS
10:00 - 11:00	<p>UPDATES On Corporate Governance Regulatory Developments In 2016 & POINTERS To Ensure Effective Corporate Governance</p> <ul style="list-style-type: none"> Comparative Features of RCCG 2009 & CCG for PLCS 2016 Corporate Financial Reporting, Disclosure, of Transparency & Accountability Under the Code of Corporate Governance. 2016 Pointers for Effective Corporate. Governance System, Oversight Structures, Internal Control & Monitoring 	<p>MRS. CATHERINE N. SAPLALA <i>Former Director Investments & Research Dept. Securities and Exchange Commission (SEC)</i> <i>BAPA, MBA, MA Research Communications</i></p>
11:00 - 12:00	<p>Corporate RISK Management - An Effective Framework In Corporate Governance:</p> <ul style="list-style-type: none"> Concepts & Assessment Organizational Structure and Strategies Financial/Capital Risk Management System Board of Directors Responsibility on Risk Oversight; Delegated Responsibility to Risk Management Committee; Role of the Risk Management Committee SEC Regulatory Perspective and OECD Principles on Risk Management 	<p>MRS. MERCEDES B. SULEIK <i>Former Deputy Director, Bangko Sentral ng Pilipinas (BSP)</i> <i>Former Vice-President, DBP</i> <i>B.S.C., C.P.A., M. A. Economics, Ph.D. (cand.)</i> <i>Fellow, Institute of Corporate Directors (FICD)</i></p>
01:00 - 3:00	<p>Compliance with the Anti-Money Laundering Law (AMLA)</p> <ul style="list-style-type: none"> Covered Companies, Institutions and Persons What the Board of Directors & Corporate Officers Need to Know about AMLA Violations, Detection, Investigation, Prosecution, and Penalties Implications on the Local and Global Economy /Financial Environment Role of the Anti-Money Laundering Council (AMLAC) of the Bangko Sentral ng Pilipinas (BSP) in the Implementation and Enforcement of AMLA 	<p>ATTY. RICHARD DAVID C. FUNK II <i>Former Deputy Director /Head Compliance & Investigation Group Anti-Money Laundering Secretariat, Bangko Sentral ng Pilipinas (BSP);</i></p>

Given this 20th day of December, 2017, at Makati City, Philippines


ATTY. FIDEL V. ESQUIERES
President