

# SECURITIES AND EXCHANGE COMMISSION

## SEC FORM 17-C

### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)  
Oct 28, 2020
2. SEC Identification Number  
15-A
3. BIR Tax Identification No.  
000-290-538-000
4. Exact name of issuer as specified in its charter  
Roxas Holdings, Inc.
5. Province, country or other jurisdiction of incorporation  
Metro Manila
6. Industry Classification Code(SEC Use Only)
7. Address of principal office  
14th Floor Net One Center, 26th corner 3rd Avenue, Bonifacio Global City, Taguig City  
Postal Code  
1634
8. Issuer's telephone number, including area code  
02-8771-7800
9. Former name or former address, if changed since last report  
n.a.
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA
 

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
common	1,547,935,799
11. Indicate the item numbers reported herein  
9

*The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.*



## Roxas Holdings, Inc.

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**PSE Disclosure Form 4-8 - Change in Directors and/or Officers  
(Resignation/Removal or Appointment/Election)  
References: SRC Rule 17 (SEC Form 17-C) and  
Section 4.4 of the Revised Disclosure Rules**

**Subject of the Disclosure**

Retirement of Mr. Hubert D. Tubio as President and CEO of Roxas Holdings, Inc.

**Background/Description of the Disclosure**

Please be advised that the President and Chief Executive Officer (PCEO) of Roxas Holdings, Inc. ("RHI" or the "Company"), Mr. Hubert D. Tubio, has announced his intention to retire from his position effective October 31, 2020, which the RHI Board of Directors accepted at a meeting held today.

Upon the effectivity of the retirement of Mr. Tubio, the Board of Directors has designated Mr. Celso T. Dimarucut as Officer-in-Charge of the Company, including those of the Company's subsidiaries, until such time as the replacement of Mr. Tubio has been duly appointed by the Board of Directors. Mr. Dimarucut is currently the Executive Vice President and Chief Finance Officer of RHI. The process of nomination and selection for a suitable candidate to the position shall be initiated, subject to the compliance with the nomination and election process for RHI's executive officers under its Manual on Corporate Governance.

**Resignation/Removal or Replacement**

Name of Person	Position/Designation	Effective Date of Resignation/Cessation of term (mmm/dd/yyyy)	Reason(s) for Resignation/Cessation
Hubert D. Tubio	Director/PCEO	10/31/2020	retirement

**Election or Appointment**

Name of Person	Position/Designation	Date of Appointment/Election (mmm/dd/yyyy)	Effective Date of Appointment Election (mmm/dd/yyyy)	Shareholdings in the Listed Company		Nature of Indirect Ownership
				Direct	Indirect	
Celso T. Dimarucut	Officer-In-Charge	10/28/2020	11/1/2020	0	0	-

**Promotion or Change in Designation**

Name of Person	Position/Designation		Date of Approval (mmm/dd/yyyy)	Effective Date of Change (mmm/dd/yyyy)	Shareholdings in the Listed Company		Nature of Indirect Ownership
	From	To			Direct	Indirect	
-	-	-	-	-	-	-	-

**Other Relevant Information**

none

**Filed on behalf by:**

<b>Name</b>	Ma. Hazel Rabara-Retardo
<b>Designation</b>	Assistant Vice President - Governance & Deputy Compliance Officer